



Docket No. 213.1127-U

UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Unknown

Art Unit: 1615

Re: Application of:

GREENWALD, Richard B., et al.

Serial No.:

09/823,296

Filed:

March 29, 2001

For:

**TERMINALLY-BRANCHED POLYMERIC
LINKERS CONTAINING EXTENSION MOIETIES
AND POLYMERIC CONJUGATES CONTAINING
THE SAME**

SMALL ENTITY STATEMENT

Assistant Commissioner
for Patents
Washington, D.C. 20231

June 7, 2001

Sir:

Applicants hereby claim Small Entity status.

Respectfully submitted,

ROBERTS & MERCANTI, L.L.P.

Michael N. Mercanti

Reg. No. 33,966

ROBERTS & MERCANTI, L.L.P.
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FIRST CLASS MAIL CERTIFICATION

I hereby certify that this correspondence and/or fee
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Washington, D.C. 20231" on June 7, 2001

ROBERTS & MERCANTI, L.L.P.

By: _____

Michael N. Mercanti
K:\wpdoc\2001\20-1129\1127-U\small entity statement.wpd



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213.1127-U

UNITED STATES PATENT & TRADEMARK OFFICE

Examiner: Unknown Art Unit: 1615
Re: Application of: GREENWALD, Richard B., *et al.*
Serial No.: 09/823,296
Filed: March 29, 2001
For: **TERMINALLY-BRANCHED POLYMERIC
LINKERS CONTAINING EXTENSION
MOIETIES AND POLYMERIC CONJUGATES
CONTAINING THE SAME**

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner
for Patents
Washington, D.C. 20231

June 7, 2001

Sir:

This is in response to the Notice to File Missing Parts dated May 17, 2001. Applicants have enclosed a statement claiming small entity status and an executed Declaration/Power of Attorney. Further, Applicants submit herewith a Preliminary Amendment, amending the abstract to conform to 37 C.F.R. 1.72(b).

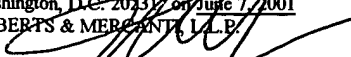
Please charge Deposit Account No. 50-0217 in the amount of \$420.00 to cover the requisite filing fee (small entity). Any deficiency or overpayment should be charged or credited to Deposit Account No. 50-0217. A duplicate copy of this sheet is enclosed.

Respectfully submitted,
ROBERTS & MERCANTI, L.L.P.

By: 

Michael N. Mercanti
Reg. No. 33,966

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ROBERTS & MERCANTI, L.L.P.
By: 

Michael N. Mercanti

#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/823,296	03/29/2001	Richard B. Greenwald	213.1127-U

CONFIRMATION NO. 6827

FORMALITIES LETTER



OC00000006088188

ROBERTS & MERCANTI, L.L.P.
EDC II
105 Lock Street, Suite 203
Newark, NJ 07103

Date Mailed: 05/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/12/2001 SHINASS1 00000056 500217 09823296

FILED UNDER 37 CFR 1.53(b)

01 FC:201 355.00 CH
02 FC:205 65.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

*A copy of this notice **MUST** be returned with the reply.*


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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE